# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 09825395 Filing Date 2001-04-03 First Named Inventor Alchas Art Unit 3763 Examiner Name MENDEZ, Manuel A. Attorney Docket Number P-4498C1

	U.S.PATENTS Remove							
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	1934046			DEMARCHI			
	2	6200291			DI PIETRO			
	3	4834704			REINICKE			
	4	5195526			MICHELSON, Gary			
	5	4774948			MARKHAM, Charles			
	6	5141496			DALTO et al			
	7	6099504			GROSS et al			
	8	5873856			HJERTMAN et al			

Application Number		09825395		
Filing Date		2001-04-03		
First Named Inventor Alcha		s		
Art Unit		3763		
Examiner Name MEND		DEZ, Manuel A.		
Attorney Docket Number		P-4498C1		

	T	ı		
9	5147328		DRAGOSITS et al	
10	5672883		REICH, Don	
11	2876770		WHITE	
12	3400715		PEDERSON	
13	4769003		STAMLER	
14	4898588		ROBERTS	
15	4978344		DOMBROWSKI et al	
16	5578014		EREZ et al	
17	5921963		EREZ et al	
18	5190521		HUBBARD et al	
19	4373526		KING	

Application Number		09825395	
Filing Date		2001-04-03	
First Named Inventor Alchae		s	
Art Unit		3763	
Examiner Name MEND		DEZ, Manuel A.	
Attorney Docket Number		P-4498C1	

	20	5873856		HJERTMAN et al			
	21	5417662		HJERTMAN et al			
	22	4883573		THOMAS			
	23	4955871		THOMAS			
	24	5222949		KALDANY			
	25	3073306		LINDER			
	26	5137516		RAND et al			
	27	6210369		WILMOT et al			
	28	4468223		MINAGAWA et al			
	29	6203529		GABRIEL et al.	Col 2 Ln 45- Col 3 Ln 58 Figs 1-10		
If you wish	If you wish to add additional U.S. Patent citation information please click the Add button.						
			U.S.PATENT APPLI	CATION PUBLICATIONS	Remove		
		•	-				

Application Number		09825395		
Filing Date		2001-04-03		
First Named Inventor	Alcha	s		
Art Unit		3763		
Examiner Name MENE		DEZ, Manuel A.		
Attorney Docket Number		P-4498C1		

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where ant Passages or Relevant es Appear
	1	20010011171				Alchas et al.			
	2	20010012925				Alchas et al.			
	3	20020038111				Alchas et al.			
	4	20020193740				Alchas et al.			
	5	20020068909				Alchas et al.			
	6	20050113753				Alchas et al.			
	7	20030199822				Alchas et al		Fig 1-€	5
	8	20030014018				Alchas et al		Paragi Paragi	15 raph 32 raph 40 raph 50 raph 53
If you wis	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	lease click the Ado	·	n. Add
				FOREIG	SN PAT	ENT DOCUM	ENTS		Remove
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patente Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

Application Number		09825395		
Filing Date		2001-04-03		
First Named Inventor Alcha		s		
Art Unit		3763		
Examiner Name MENE		DEZ, Manuel A.		
Attorney Docket Number		P-4498C1		

1	FR612401A1	FR			
2	WO 95/01198	WO			
3	WO 95/01198	WO			
4	WO 99/27986	WO			
5	WO 99/34850	wo			
6	EP 0904790A2	EP			
7	GB 2 206 794 A	GB			
8	DE 4127887C1	DE			
9	2000-37456	JP			
10	WO 99/25402	wo			
11	EP 1092444	EP			

Application Number		09825395		
Filing Date		2001-04-03		
First Named Inventor Alcha		s		
Art Unit		3763		
Examiner Name MENE		DEZ, Manuel A.		
Attorney Docket Number		P-4498C1		

	12	GB 735538	GB					
	13	WO 93/09826	wo					
	14	EP 1066848	EP					
	15	WO 00/56384	wo					
	16	DE 29918794	DE					
	17	WO 02/083215					Fig 1-8 Paragraph 38 Paragraph 44-46	
	18	H01-013862	JP					×
If you wis	h to ac	d additional Foreign P	atent Document	citation	information pl	ease click the Add buttor	Add	
			NON-PATEN	NT LITE	RATURE DO	CUMENTS	Remove	
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						<b>T</b> 5
	1	Dermal Immune System by Brian J. NICKOLOFF						
	2	Trials of Intradermal Hepatitis B Vaccine in Gambian Children by WHITTLE, LAM, RYDER						

Application Number		09825395		
Filing Date		2001-04-03		
First Named Inventor Alchae		s		
Art Unit		3763		
Examiner Name	MEN	DEZ, Manuel A.		
Attorney Docket Number		P-4498C1		

	3	Injection Technique Intradermal (Practical Procedures for Nurses)						
	4	Dendritic Cell System and its Role in Immunogenicity by R. STEINMAN						
	5	tradermal Gene Immunization: The Possible Role of DNA Uptake in the Induction of Cellular Immunity to Viruses RAZ, et al.						
	6	linical Do's and Don'ts- Giving Intradermal Injections by E. MCCONNELL RN, PhD						
	7	Monographs of the Physiological Society No. 12: Substances Producing Pain and Itch by C.A. KEELE et al.						
If you wish to add additional non-patent literature document citation information please click the Add button Add								
		EXAMINER SIGNATURE						
Examiner	Signa	ure Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								

( Not for submission under 37 CFR 1.99)

Application Number		09825395
Filing Date		2001-04-03
First Named Inventor	Alcha	s
Art Unit		3763
Examiner Name	MEN	DEZ, Manuel A.
Attorney Docket Numb	er	P-4498C1

CERTIFICATION STATEMENT								
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
×	See attached certification statement.							
×	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
	None							
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Signature		/Robert E. West Reg #48,030/	Date (YYYY-MM-DD)	2006-12-07				
Name/Print		Robert E. West	Registration Number	48,030				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.